

Attorney Docket No.: 57941.000062

Client Reference No.: RA001.2003.1.C.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael FARMWALD et al.

Group Art Unit: 2818

Appln. No.: 10/716,595

Examiner: Tan Nguyen

Filed: November 20, 2003

For: MEMORY DEVICE AND METHOD FOR

OPERATING SAME

:

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty under 37 C.F.R. § 1.56 of each individual associated with the filing and prosecution of the above-identified patent application (hereinafter, "associated individuals") to disclose all information known to that individual to be material to patentability, Applicant(s) hereby submits attached Form PTO-1449 (modified) listing cited references. This submission is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609.

The cited references, while believed to be of some relevance, are not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. Applicant(s) hereby expressly

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reserves the right to swear behind the effective dates of any of the cited references. Applicant(s) further reserves the right to question the relevance, materiality, and/or prior art status of any of the cited references in whole, in part, or in combination, subsequent to the filing of this information disclosure statement. This information disclosure statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this information disclosure statement discloses only the best references of which the associated individuals are aware.

The Examiner is respectfully requested to consider each of the cited references, to indicate such consideration by initialing in the space provided next to each cited reference on the enclosed Form PTO-1449 (modified), to sign the initialed Form PTO-1449 (modified), and to return a copy of the same with the next communication to the Applicant(s).

In accordance with 37 C.F.R. § 1.98(a), only copies of the cited references which are not U.S. patents or U.S. patent application publications are being submitted herewith. However, copies of the cited references which are U.S. patents or U.S. patent application publications will be submitted at the request of the Examiner. In considering these cited references, it may be noted by the Examiner that certain of the references may

contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of these cited references. Any such markings were either present on the copies of these cited references when obtained by the associated individuals, or were made thereon during the study of these cited references by the associated individuals.

In accordance with 37 C.F.R. § 1.97(b), this information disclosure statement is being filed (i) within three months of the filing date of the above-identified patent application; (ii) within three months of the date upon which the above-identified patent application entered the national stage as set forth in 37 C.F.R. § 1.491; (iii) before the mailing date of a first Office the above-identified merits for the Action on application; or (iv) before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Accordingly, no statement or fee is required.

Please charge any shortage in fees due in connection with the filing of this communication to Deposit Account No. 50-0206, and please credit any excess fees to such deposit account.

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Respectfully submitted,

Hunton & Williams LLP

Thomas E. Anderson

Registration No. 37,063

TEA/sdw

Hunton & Williams LLP

1900 K Street, N.W.

Washington, D.C. 20006-1109

Telephone: (202) 955-1500 Facsimile: (202) 778-2201

Date:

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FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO.: 57941.000062 INVENTOR'S NAME:			Page 1 of 2 SERIAL NO.: 10/716,595 EXAMINER:		
	LIST O	F MATERIALS CITE	ED BY APPLICAL	706		el FARMWA		Tan N		
(Use several sheets if			(2)		FILING DATE: November 20, 2003			GROUP: 2818		
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST	OF MATERIALS CITED BY APPLICANT	INVENTOR'S NAME: Michael FARMWALD et al.	EXAMINER: Tan Nguyen						
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